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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/576,545	07/05/2006	Stephane Tuffin	127745	3849
25944 OLIFF & BERI	7590 09/08/200 RIDGE, PLC	EXAMINER		
P.O. BOX 3208	350	CHAO, MICHAEL W		
ALEXANDRIA, VA 22320-4850			ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)			
Office Action Summary		10/576,545	TUFFIN ET AL.			
		Examiner	Art Unit			
		Michael Chao	2442			
Period fo	The MAILING DATE of this communication a or Reply	ppears on the cover sheet with the	correspondence address			
WHIC - Exter after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REP CHEVER IS LONGER, FROM THE MAILING asions of time may be available under the provisions of 37 CFR SIX (6) MONTHS from the mailing date of this communication. Operiod for reply is specified above, the maximum statutory perior to reply within the set or extended period for reply will, by staticated by the Office later than three months after the mailed patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATION 1.136(a). In no event, however, may a reply be will apply and will expire SIX (6) MONTHS froute, cause the application to become ABANDON	ON. imely filed m the mailing date of this communication. IED (35 U.S.C. § 133).			
Status						
1) 又	Responsive to communication(s) filed on <u>24</u>	July 2009				
•	This action is <b>FINAL</b> . 2b) ☐ This action is non-final.					
3)	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
٥/ا	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Dispositi	on of Claims					
4)⊠	)⊠ Claim(s) <u>1-17</u> is/are pending in the application.					
-	4a) Of the above claim(s) is/are withdrawn from consideration.					
	5) Claim(s) is/are allowed.					
	6) Claim(s) <u>1-17</u> is/are rejected.					
	Claim(s) is/are objected to.					
	Claim(s) are subject to restriction and	or election requirement.				
Applicati	on Papers					
9) The specification is objected to by the Examiner.						
•	The drawing(s) filed on is/are: a) ☐ a		Examiner.			
,	Applicant may not request that any objection to the					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority ι	ınder 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
2) Notice (3) Inform	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	4) Interview Summar Paper No(s)/Mail 5) Notice of Informal 6) Other:				

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## **DETAILED ACTION**

The references discussed herein were cited in prior office action dated 5/18/2009.

## Claim Rejections - 35 USC § 103

Claims 1, 4, 7, 8, 9, 15, are rejected under 35 U.S.C. 103(a) as being unpatentable over Benveniste et al. (US 2005/0009533), in view of Shankar et al. (QoS Signaling for Parameterized Traffic in IEEE 802.11e Wireless LANs).

With respect to claims 1, 8, Benveniste teaches: A method of monitoring multimedia stream exchange session initialization messages transmitted in packet mode via a monitoring server over a network between a sender terminal and one or more receiver terminals, characterized in that it comprises the following steps:

comparing that value to a maximum authorized bit rate value; and (The access point will either accept or decline the request, depending on the available bandwidth. If the request is declined the station may not transmit with the privileges of the traffic class indicated in the TSPEC." Benveniste paragraph [0012])

authorizing (40) transmission of the initialization packet only if the bit rate value for that initialization packet does not exceed the maximum authorized bit rate value. ("either accept or decline the request" Beneviste paragraph [0012])

Benveniste does not explicitly disclose that the TSPEC is an estimate of the required bandwidth.

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Shankar discloses such a definition in Figure 5 "Traffic Specification Element"; specifically 'Minimum Data Rate' and 'Mean Data Rate'.

A person of ordinary skill in the art would have modified the invention of Benveniste by using the TSPEC definition of Shankar.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to make this modification in order to notify a system of the expected requirements of a communication flow.

Regarding claims 4, 9, Benveniste teaches: monitoring messages transmitted in packet mode, implemented by the monitoring server, which also processes session initialization packets. ("Gateway 306 intercepts the CS-TSPEC request" Benveniste paragraph [0064])

Regarding claims 7, 15, Benveniste teaches: wherein the session initialization messages transmitted use the Session Initialization Protocol (SIP). (Session Initiation Protocol Benveniste paragraph [0004])

Claims 2, 11, 13, are rejected under 35 U.S.C. 103(a) as being unpatentable over Benveniste, in view of Shankar, in further view of Vaid et al. (US 5,502,131).

Concerning claim 2, Benveniste, in view of Shankar teaches substantially the claimed limitations, as shown under claim 1. Concerning the further limitations of claim 2, this combination does not explicitly state that there are endpoint defined bandwidth limits.

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Vaid discusses endpoint defined (Sender, receiver. Vaid column 27 line 32)
 bandwidth limits ("bandwidth allocated" Vaid column 27 line 33.)

A person of ordinary skill in the art would have modified the available bandwidth calculation of Benveniste in view of Shankar to include the endpoint defined bandwidth of Vaid.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to modify the invention in order to maintain Quality of Service over the network.

Regarding claim 11, Benveniste teaches: monitoring messages transmitted in packet mode, implemented by the monitoring server, which also processes session initialization packets. ("communication resource usage" Benveniste paragraph [0018])

Regarding claim 13, Benveniste teaches: wherein the session initialization messages transmitted use the Session Initialization Protocol (SIP). (Session Initiation Protocol Benveniste paragraph [0004])

Claims 3, 12, 14, are rejected under 35 U.S.C. 103(a) as being unpatentable over Benveniste, in view of Shankar, in further view of Chen et al. (US 6,487,170).

Concerning claim 3, Benveniste, in view of Shankar teaches substantially the claimed limitations, as shown under claim 1. Concerning the further limitations of claim 3, this combination does not explicitly state that average initialization packet bandwidth is calculated over a preset time.

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Chen teaches an average (Chen column 11 line 36) initialization packet ("premium bandwidth" Chen column 11 line 36) bandwidth that is calculated over a preset time ("evaluation interval" Chen column 11 line 30).

A person of ordinary skill in the art would have modified the communication resource usage measurement of Benveniste in view of Shankar to include the average premium service usage measurement of Chen by monitoring the average packet size of the initialization packets.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to modify the invention in order to determine the bandwidth being utilized by a subscriber.

Regarding claim 12, Benveniste teaches: monitoring messages transmitted in packet mode, implemented by the monitoring server, which also processes session initialization packets. ("communication resource usage" Benveniste paragraph [0018])

Regarding claim 14, Benveniste teaches: wherein the session initialization messages transmitted use the Session Initialization Protocol (SIP). (Session Initiation Protocol Benveniste paragraph [0004])

Claims 5, 6, 16, 17, are rejected under 35 U.S.C. 103(a) as being unpatentable over Benveniste, in view of Shankar, in further view of Ballew (Managing IP Networks with Cisco Routers).

Concerning claim 5, Benveniste, in view of Shankar teaches substantially the claimed limitations, as shown under claim 4. Concerning the further limitations of claim

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5, this combination does not explicitly state that initialization packets are forcibly routed
 to the monitoring server.

Ballew discloses forcibly routing packets in the 'Advantages of Static Routing' section, on page 2.

A person of ordinary skill in the art would have modified the access points of Benveniste in view of Shankar to include static routs as shown in Ballew.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to make this modification in order to remove overhead on the network links.

Concerning claim 6, Benveniste, in view of Shankar teaches substantially the claimed limitations, as shown under claim 4. Concerning the further limitations of claim 6, this combination does not explicitly state that initialization packets are forcibly routed to the processor server.

Ballew discloses forcibly routing packets in the 'Advantages of Static Routing' section, on page 2.

A person of ordinary skill in the art would have modified the access points of Benveniste in view of Shankar to include static routs as shown in Ballew.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to make this modification in order to remove overhead on the network links.

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Regarding claim 16, Benveniste teaches: wherein the session initialization messages transmitted use the Session Initialization Protocol (SIP). (Session Initiation Protocol Benveniste paragraph [0004])

Regarding claim 17, Benveniste teaches: wherein the session initialization messages transmitted use the Session Initialization Protocol (SIP). (Session Initiation Protocol Benveniste paragraph [0004])

Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Benveniste, in view of Shankar, in view of Vaid, in further view of Chen.

Concerning claim 10, Benveniste, in view of Shankar teaches substantially the claimed limitations, as shown under claim 2. Concerning the further limitations of claim 10, this combination does not explicitly state that average initialization packet bandwidth is calculated over a preset time.

Chen teaches an average (Chen column 11 line 36) initialization packet ("premium bandwidth" Chen column 11 line 36) bandwidth that is calculated over a preset time ("evaluation interval" Chen column 11 line 30).

A person of ordinary skill in the art would have modified the communication resource usage measurement of Benveniste in view of Shankar in view of Vaid to include the average premium service usage measurement of Chen by monitoring the average packet size of the initialization packets.

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It would have been obvious at the time the invention was made to a person of
 ordinary skill in the art to modify the invention in order to determine the bandwidth being

3 utilized by a subscriber.

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## Response to Arguments

Applicant's arguments, see page 6, filed 07/24/2009, with respect to the 35 USC 101 and 112 rejections have been fully considered and are persuasive. The rejection of claims 1-17 has been withdrawn.

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Applicant's further arguments, filed 7/24/2009, have been fully considered but they are not persuasive.

Applicant's argument (page 7), that Benveniste does not teach "comparing the estimated bit rate value of a received packet to a maximum authorized bit rate value. and authorizing transmission of the packet only if the bit rate value for that initialization packet does not exceed the maximum authorized bit rate value." Benveniste teaches checking available bandwidth, (authorized bit rate) and accepting or declining the request dependent thereon (The access point will either accept or decline the request, depending on the available bandwidth) (Benveniste paragraph [0012]). TSPEC has values which are present in an initiation packet, Benveniste paragraph [0014], "a first user's wireless terminal places a call to a second user . . . SIP . . . optionally include . . . a traffic specification (TSPEC)", where the values are minimum data rate, mean data rate, maximum burst size, (Shankar page 78 as printed) which are estimated bit rate values for the initialization packet. Benveniste in view of TSPEC therefore teaches estimating a bit rate value for at least one initialization packet, comparing that to a maximum value, and authorizing based on the comparison. Applicant's argument is not persuasive.

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Applicant's argument (pages 7 and 8), that Benveniste would not have been combined with Shankar, or more specifically TSPEC, is not persuasive since Benveniste explicitly contemplates its use therewith.

Applicant's argument (pages 7 and 8), that Benveniste differs from Applicant's invention is not specifically pertinent. For instance, while it is argued that Benveniste does not teach that initialization packets can transmit content data, filtering out and directing initialization message packets to a monitoring server, and estimating the bit rate of solely initialization packets. These are all unclaimed features of Applicant's invention.

According claims 1 and 8 their broadest reasonable interpretation, there is no limitation on what the initialization packets include or lack, other than there be some data that allows an estimate for a bit rate given the initialization packet. TSPEC provides such a mechanism.

Filtering out and directing message packets to a monitoring server is not contemplated in claims 1 or 8, beyond that some initialization packet is received and accepted or rejected, which is shown by Benveniste.

Nothing is said of what the maximum authorized bit rate is derived from nor is it stated that it is distinguishable from available bandwidth.

Lastly, 'comprising' is an open ended transitional phrase and does not exclude additional unrecited elements or steps. See MPEP 2111.03. Therefore the bandwidth estimate need not be exclusively calculated from initialization packets.

Applicant's arguments on pages 7 and 8 are not persuasive.

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Applicant's further arguments depend on those treated and are persuasive or not for reasons stated above.

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THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Chao whose telephone number is (571)270-5657. The examiner can normally be reached on 8-4 Monday through Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Caldwell can be reached on (571)272-3868. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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1 Information regarding the status of an application may be obtained from the

- 2 Patent Application Information Retrieval (PAIR) system. Status information for
- 3 published applications may be obtained from either Private PAIR or Public PAIR.
- 4 Status information for unpublished applications is available through Private PAIR only.
- 5 For more information about the PAIR system, see http://pair-direct.uspto.gov. Should
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- 8 USPTO Customer Service Representative or access to the automated information
- 9 system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/M. C./ Examiner, Art Unit 2442

/Andrew Caldwell/ Supervisory Patent Examiner, Art Unit 2442

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